

## QUARTERLY PERFORMANCE REPORT

### COMPLAINTS

Source	Opening Balance	Received During the Quarter	Total 4=(2+3)	Disposal During The Quarter (Filed/Sent for NA and Registered as Vigilance for Investigation by CVO)	Balance Pending 6=(4-5)	Age Wise Pendency (Months) 6=(7+8+9)		
						<1	1-3	Over 3 months
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
CVC*	13	0	0	0	0	0	0	0
OTHERS <sup>§</sup>	5	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>18</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

§ Exclude details of PIDPI (Whistle Blower) complaints which are to be provided in para 1.3 below

\* Complaints received for **necessary action** from CVC only to be reflected

PI note: Anonymous complaints received should not be included/reflected in Col. 3 above

#### 1.1 Break up of complaints received under source 'Others' during the quarter

(a) Signed Complaints	0
(b) Complaints from Ministry / Department	0
(c) Complaints from CBI	0
(d) Complaints from Other Source	0
(e) Detected through Audit (No. of para's turns into Vigilance para's)	0
(f) Detected through Inspection / Scrutiny of Contracts etc.	0

#### 1.2 Break up of disposal of complaints during the quarter

(a) Sent to CBI for Investigation / appropriate action	0
(b) Taken up for detailed investigation by CVO	0
(c) Signed complaints filed being non-specific / vague / general allegations	0
(d) Signed complaints not confirmed by sender- Filed as Pseudonymous	0
(e) Passed on to administrative authorities for appropriate action having no vigilance angle / allegation of corruption	0

NB: Aggregate of (a), (b), (c) (d)& (e) of para 1.2 should tally with total figure under Col 5 of para 1

#### 1.3 PIDPI\* Complaints

Source	Opening Balance	Received During the Quarter	Total 4=(2+3)	Reports submitted to CVC/CVO,Admin.Ministry During the quarter	Balance Pending 6=(4-5)	Age wise Pendency for Investigation by CVO 6=(7+8+9)			Reasons for delay beyond 3 months
						Upto 3 month	3-6 months	> 6 months	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
CVC	10	0	0	0	0	0	0	0	
Other Designated Authority	4	0	0	0	0	0	0	0	
<b>TOTAL</b>	<b>14</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	

\* Complaints as received for Investigation & Report from CVC and Admn. Ministry to be reflected separately under Public Interest Disclosure & Protection of Informers Resolution dated 21.4.2004 and Amendment Resolution dated 29.8.2013/Whistle Blowers Protection Act, 2011(when WBP Act,2011 comes into effect)

#### 1.4 Advice and Action taken on PIDPI investigation reports

Agency	Advice of CVC / Designated Agency received till end of previous quarter	Reports sent during the quarter by CVO (Col.5 of para 1.3 above)	Reports in which Advice received during the quarter	Total Advice received 5=(2+4)	Action Taken during the quarter	Balance Pending for Action by Management/Organization (5-6)=(7+8+9+10)			
						<3 months	3-6 months	6-12 months	>12 months
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
CVC	2	0	0	0	0	0	0	0	0
CVO,Admin.Ministry	10	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>12</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Final decision taken by DA after CVOs recommendations and charge-sheet for major/minor RDA issued/final decision taken for administrative action or decision of CVO for closure of case on investigation reports.

#### 1.5 Action taken report on complaints sent by CVC for 'Necessary Action' under PIDPI Resolution:

Brought forward	Received during the quarter	Total 3=(1+2)	Filed / closed without taking any action	Taken up for further investigation	Complaints resulting in administrative action	Complaints resulting in imposition of major / minor penalty	Total complaints disposed of during the quarter 8=(4+6+7)	Pending at the end of the quarter 9=(3-8)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
4	0	0	0	0	0	0	0	0

#### 1.6 Scrutiny of audit reports

Type of Audit	Para's B/F from previous years	No. of reports examined during the quarter	No. of para in which vigilance angle observed and identified for examination *	No. of para's in which investigation completed	No. of para's pending for investigation 6=(2+4)-5
(1)	(2)	(3)	(4)	(5)	(6)
CAG	2	0	0	0	0
Concurrent	0	0	0	0	0
Internal	0	0	0	0	0
Statutory	0	0	0	0	0
Others	0	0	0	0	0
<b>Total</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Should match with figures at para 1.1 (e)

# QUARTERLY PERFORMANCE REPORT

## VIGILANCE INVESTIGATION

### 2.1 Complaints, Audit reports, Inspection reports of works / procurements etc. Taken up for investigation (nos)

Agency	Opening Balance	Taken up for investigation during the quarter	Total 4=(2+3)	Reports received from IO / CBI (other than Prosecution sanctions)	Balance Pending 6=(4-5)	Age wise Pendency (Months) of Investigations 6=(7+8+9+10)			
						<3	3-6	6-12	>12
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
CVC*	12	0	0	0	0	0	0	0	0
CVO**	2	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>14</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Only Complaints received by CVO seeking Investigation and Report from CVC.

\*\* Figures as reported at Para 1.2 (b) to be included in Column no 3.

### 2.2 Vigilance investigation pending where officers under cvc jurisdiction are due for retirement in next one year (cases referable to cvc)

Opening Balance	Taken up for investigation during the quarter	Total 3=(1+2)	Reports received from IO (other than Prosecution sanctions)	Balance Pending 5=(3-4)	Age wise Pendency (Months) of Investigations 5=(6+7+8)			Reasons for Pendency
					<3	3-6	6-12	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
14	0	0	0	0	0	0	0	

### 2.3 Action on Investigation Reports

#### 2.3 (A) Category A (officials falling under CVC jurisdiction)

Agency	Investigation report B/F from last Quarter	Received During the Quarter	Total 4=(2+3)	Disposed during the Quarter*	Balance Pending investigation Reports 6=(4-5)	Age wise Pendency (Months) of Investigation Reports (awaiting action) 6=(7+8+9+10)			
						<3	3-6	6-12	>12
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
CBI	10	0	0	0	0	0	0	0	0
CVO	0	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>10</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Disposal Criteria - Final decision taken by DA after CVC advice and when charge-sheet for major/minor RDA issued/final decision taken for administrative action or closure of case.

NB: Aggregate of (a), (b), (c) & (d) in para 2.3 (B) should tally with total figure under Col 5 of para 2.3 (A)

#### 2.3 (B) Break up of Disposal

(a) C/Sheet for RDA for Major Penalty issued	0
(b) C/Sheet for RDA for Minor Penalty issued	0
(c) Final decision taken for Administrative Action	0
(d) Final decision taken for Closure of case	0

**2.3 (C) Category B (officials not falling under CVC jurisdiction)**

Agency	Investigation report B/F from last Quarter	Received During the Quarter	Total 4=(2+3)	Sent to DAs concerned for Action (ie RDA/AA)	Disposed during the Quarter (After decision of DA)	Balance Pending Investigations 7=(4-6)	Age wise Pendency (Months) of Investigation Reports (awaiting action) 7=(8+9+10+11)			
							<3	3-6	6-12	>12
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
CBI	4	0	0	0	0	0	0	0	0	0
CVO	0	0	0	0	0	0	0	0	0	0
<b>TOTAL</b>	4	0	0	0	0	0	0	0	0	0

\* Final decision taken by DA after CVOs recommendations and charge-sheet for major/minor RDA issued/final decision taken for administrative action or decision of CVO for closure of case on investigation reports.

NB: Aggregate of (a), (b), (c) & (d) in this sub- para should tally with total figure under Col.6 of sub-para (C) para 2(c)

**2.3 (D) Break up of Disposal**

(a) C/Sheet for RDA for Major Penalty issued	0
(b) C/Sheet for RDA for Minor Penalty issued	0
(c) Final decision taken for Administrative Action	0
(d) Final decision taken for Closure/No action	0

**2.4 Investigation reports submitted by the CVO w.r.t all complaints/audit reports, inspection reports of works/procurements. (Nos)**

Reports submitted by the CVO during the Quarter	Action Recommended on the Investigation Reports/Cases of the Quarter *			
	Major pp	Minor pp	Others incl. Admn Action etc	Closure
(1)	(2)	(3)	(4)	(5)
0	0	0	0	0

\*Action recommended in cases/reports & no. of officers against whom action recommended by CVO

**2.5 Rank wise break up of action recommended in para 2.4 above during the quarter** See Instruction Before Filling

**Category	Action Recommended on the cases of the month				
	Major pp	Minor pp	Others incl. Admn Action etc	Closure	Total
(1)	(2)	(3)	(4)	(5)	(6)
Group C	0	0	0	0	0
Group B	0	0	0	0	0
Group A upto DS/Dir level	0	0	0	0	0
Js and above	0	0	0	0	0
<b>Total</b>	0	0	0	0	0

Number of officials to be specified under each type of action recommended by CVO

\*\*Also see explanation appended at the end for equivalent categories in organisations.

## QUARTERLY PERFORMANCE REPORT

### PROSECUTION SANCTIONS

#### 3.1 (A) Details regarding requests for Prosecution sanctions received and disposed [See Instruction Before Filling](#)

** Category	Opening Balance	Received during the quarter.	Total 4=(2+3)	Sanction granted	Sanction refused	Balance Pending 7=[4 - (5+6)]	Age wise Pendency(Months) 7=(8+9+10)		
							<3	3-6	>6
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
Group C	3	0	0	0	0	0	0	0	0
Group B	0	0	0	0	0	0	0	0	0
Group A up to DS/Director level	0	0	0	0	0	0	0	0	0
Js and above	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*\* Also see explanation appended at the end for equivalent categories of officers in organisations.

#### 3.1(B) Details of cases pending for Prosecution sanctions beyond 3 months

Age wise Pendency:	0	Add						
S.No.	CBI FIR No.	Name & Designation of officer	Date of recommendation by Investigating Agency	Date of receipt of recommendation/request	Sanction sought under PC Act/IPC /other Laws	Status of request/Reasons for pendency	Name & designation of authority with whom pending	Delete

#### 3.2 Suspension on Vigilance / Corruption Grounds - Category A- Officers under CVC jurisdiction

##### 3.2(A) Details regarding suspension (Nos )

Nos. of officers under suspension at the beginning of quarter	Suspensions ordered during the quarter	Total 3=(1+2)	Suspension revoked during the quarter	Nos. of officers under suspension at the end of quarter 5=(3 - 4)
(1)	(2)	(3)	(4)	(5)
0	0	0	0	0

\* Include number of officers falling under CVC jurisdiction- Category A officers only.

## QUARTERLY PERFORMANCE REPORT

### DEPARTMENTAL PROCEEDINGS

#### 4. Departmental Inquiries (Nos)

##### 4.(A) Major Penalty\* cases pending with IOs/IAs

Category	B/F from last quarter	Inquiries assigned to IO during the quarter	Total 4=(2+3)	Reports received from IOs	Enquiries Pending with IOs 6=(4-5)	Age wise Pendency(Months)**			
						6=(7+8+9+10)			
(1)	(2)	(3)	(4)	(5)	(6)	<6	6-12	12-18	>18
						(7)	(8)	(9)	(10)
Cases under CVC Jurisdiction	11	0	0	0	0	0	0	0	0
Other (non-CVC cases)	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>11</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Minor Penalty Proceeding pending with Inquiry Officers to be included also.

\*\*Pendency to be reckoned w.r.f. date of appointment of IO.

##### 4.(B) Minor penalty proceedings pending with disciplinary authorities

Category	B/F from last quarter	Minor penalty charge sheet by DAs during the qtr	Total 4=(2+3)	Cases in which Final Orders issues by DAs	Balance pending 6=(4-5)	Age wise Pendency(Months)**			
						6=(7+8+9+10)			
(1)	(2)	(3)	(4)	(5)	(6)	<6 months	6-12 months	12-18 months	>18 months
						(7)	(8)	(9)	(10)
Cases under CVC Jurisdiction	10	0	0	0	0	0	0	0	0
Other (non-CVC cases)	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>10</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*\* Pendency to be reckoned w.r.f. date of issue of chargesheet

##### 4(C) Details of inquiry against charged officers due for superannuation in next one year

Details of inquiry against charged officers:						Add
S.No.	Name & designation of charged officer	Date of Charge-sheet	Date of IO/PO appointment	Date of superannuation	Remarks	Delete

## QUARTERLY PROGRESS REPORT

### ADVICE OF CVC

#### 5. Advice of CVC & action taken thereon (nos)

##### 5.(A) Advice CVC(Nos)

Stage of Advice	Cases referred to CVC & advice awaited (B/F from previous quarter)	Cases referred to CVC during the quarter	Advice received during the Quarter	Advice awaited from CVC 5=(2+3- 4)
(1)	(2)	(3)	(4)	(5)
1st	3	0	0	0
2nd	0	0	0	0
1st stage reconsideration	2	0	0	0
2nd stage reconsideration	0	0	0	0
<b>Total</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>0</b>

##### 5.(B) Action on CVC Advice(Nos)

Stage of Advice	Type of Proceeding	Opening Balance	Advices received during the Quarter	Disposed	Balance Pending 6=3+4-5	Age wise Pendency(Months)			
						<1	1-3	3-6	>6
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
1st	Major	3	0	0	0	0	0	0	0
	Minor	5	0	0	0	0	0	0	0
2nd	Major	0	0	0	0	0	0	0	0
	Minor	0	0	0	0	0	0	0	0
<b>Total</b>		<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Explanation: \*Disposal criteria:1st stage- Major is on appointment of IO/PO. 1st stage- Minor is on issue of final orders.

2nd stage – Major & Minor – issue of final orders by DA

##### 5.(C) Non-Acceptance / deviation of CVC Advice

No of Rows:						↓	Add	
S.No.	Type	Nature of CVC Advice	CVC File No.	Dept. Ref. No.	Name of Officer and Designaion	Name & Designation of DA	Action taken by DA	Delete

##### 5.(D) Appellate authority Deviations (Deviation / Non-acceptance)

No of Rows:						↓	Add	
S.No.	Type	Nature of CVC Advice	CVC File No.	Dept. Ref. No.	Name of Officer and Designaion	Action taken by DA and Name & Designation of DA	Action taken by AA	Delete

\*for 5 (C) & 5 (D) - Type /Nature of CVC Advice – ( in drop down menu)

Sanction for Prosecution/ Denial of Prosecution/ First stage –Major pp/ Minor pp/Admn Action

Second Stage – Imposition of Major penalty/Minor penalty/ Exoneration







## QUARTERLY PERFORMANCE REPORT

### PREVENTIVE VIGILANCE

#### 8.1 Preventive Vigilance Measures(Nos)

##### 8.1(A) By The CVO

Type	Up to end of the previous quarter	During the quarter	No.resulting in Vig.Cases / System Improvement	Recovery effected,if any (in Rs.)
(1)	(2)	(3)	(4)	(5)
Periodic Inspection	3	0	0	0
Surprise Inspection	0	0	0	0
Major Work / Procurements-CTE Type Inspection	3	0	0	0
Scrutiny of Files	0	0	0	0
Scrutiny of Property Returns	0	0	0	0
Audit reports examined	3	0	0	0
Training Programs / Workshopsheld	0	0	0	0
System Improvements undertaken	0	0	0	0

##### 8.(B) By the Administration / Management

##### 8.(B) (1) Sensitive Posts and Job Rotation

No. of Sensitive Post Identified	No. of Posts due for rotation during the Quarter	No. of Posts rotated during the Quarter	No. of Post due for rotation and not rotated during Quarter	Reasons for not effecting rotating the sensitive posts
(1)	(2)	(3)	(4)	(5)
3	0	0	0	

##### 8.(B) (2) FR 56J (or equivalent provisions in other rules / regulations)

No. of officials covered	No. of reviews undertaken	No. of cases taken up under FR 56J	Action taken
(1)	(2)	(3)	(4)
0	0	0	

##### 8.(B) (3) (A) Details of Vigilance Mechanism set up in subsidiaries/JVs/SPVs/RRBs etc

S.No.	Name of sub /JV/SPV/RRB etc. where Vig.Mechanism set up	No.of officers / staff appointed	Method of control exercised by parent Organisations
(1)	(2)	(3)	(4)

##### 8.(B) (3) (B)

S.No.	Name of sub /JV/SPV/RRB etc. where Vig.Mechanism set up	Method of control exercised by parent organisation	likely time for creating functional vigilance mechanism
(1)	(2)	(3)	(4)

#### 8.2

(A) Whether agreed list for current year prepared	<input type="radio"/> Yes <input type="radio"/> No
(B) Whether list of officers of doubtful integrity prepared	<input type="radio"/> Yes <input type="radio"/> No
(C) Whether annual property returns/Personal files of the officials in Agreed / Odilist scrutinised	<input type="radio"/> Yes <input type="radio"/> No
(d) Whether any information shared with CBI on (C)	<input type="radio"/> Yes <input type="radio"/> No
(E) whether data relating to pendency of complaints and advice sreconciled with cvc	<input type="radio"/> Yes <input type="radio"/> No

### 8.3 Other Activities

<b>(A) Leveraging Technology</b>	
<b>(1) Extent of IT usage and the e-governance</b>	
(a) Percentage of E-payment	0 %
(b) Percentage of E-tendering / E-auction	0 %
(c) Procurements / Contracts made thru Central Procurement Portal	0 %
(d) Percentage of procurement through Government E Marketplace(GeM) wherever applicable	0 %
(B) Whether QPR Due have Been Furnished to CTE	<input type="radio"/> Yes <input type="radio"/> No
(C) Whether Review of vigilance work was done by the board / head of department	<input type="radio"/> Yes <input type="radio"/> No
(D) Whether structured meeting held by the C.E.O./ Head of Department with CVO	<input type="radio"/> Yes <input type="radio"/> No
(E) Whether Report on implementation of integrity pact sent	<input type="radio"/> Yes <input type="radio"/> No
(F) Whether applications being used are reporting deviations as alerts	<input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Other
(G) Whether Changes in technology is being dovetailed with changes in instructions Guidelines / Manuals	<input type="radio"/> Yes <input type="radio"/> No
(H) Whether E- Learning methodology is being used	<input type="radio"/> Yes <input type="radio"/> No
(I) Whether Pending Disciplinary Cases are Monitored	<input type="radio"/> Yes <input type="radio"/> No
(J) Whether CVO / VO Deputed for Training Abroad	<input type="radio"/> Yes <input type="radio"/> No
(K) Visits Abroad by CVO,if any	<input type="radio"/> Yes <input type="radio"/> No
(L) Whether Prior Approval of Commission obtained for Official foreign visits by CVO/VO	<input type="radio"/> Yes <input type="radio"/> No
(M) Expenditure incurred on Festival Gifts any(Rs.) (see CVC's Office Order No.40/8/2003 dated 27.08.2003)	
(N) Whether Tour Details of CVO Submitted to CVC (see CVC's Circular No 26/07/010 dated 15.07.2010)	<input type="radio"/> Yes <input type="radio"/> No
(O) Whether Guidelines on Appointment of Outsiders / Retired officers As I.O for Oral InQiries Laid Down including Provisions in CDA Rules (Only for orgns. other than Govt : see Circular no. 98/MS/23 dt 25.3.2003 )	<input type="radio"/> Yes <input type="radio"/> No
(P) Victimization of vigilance officials Reported to Commission if any (Circular No.16/3/06 dt 28.3.2006)	
(Q) Whether secrecy of Passwords Monitored by CVO in Terms of Policy Approved by the Respective Board (For Banks Only)	<input type="radio"/> Yes <input type="radio"/> No

[Last date of activity to be mentioned against the date]

\* If you are not able to fill up any of the above paras, give a time line for completing the same.

## QUARTERLY PERFORMANCE REPORT

### PREVENTIVE VIGILANCE ACTIVITIES

#### 9. Preventive Vigilance Activities in During the Quarter :(Upload Doc giving brief details)

<b>Eg:</b>		
(a) Simplification and standardization of rules inter-alia including in Procurement processes, recruitment and transfer policies Standard Operating Procedures (SOP), Manuals etc		
(b) Leveraging Technology and Automation inter-alia including in procurement,recruitment,service delivery,sales and disposal etc		
(c) Business Process Re-engineering	Browse... No file selected.	Document Not Uploaded
(d) Transparency Initiatives		
(e) Accountability Initiatives		
(f) Control & Supervision		
(G) Training & Awareness including awareness among client /vendors,public employees etc.		
(h) Internal Whistle Blower Mechanism and its effectiveness in measurable terms		

#### 10.

<b>Remarks, if any</b>	<input style="width: 80%;" type="text"/>
<b>Place</b>	<input style="width: 80%;" type="text"/>
<b>Date</b>	<input style="width: 80%;" type="text" value="mm / dd / yyyy"/>

To view preview of QPR filled please [click here](#).